

# Dynamic Routing Between Capsules

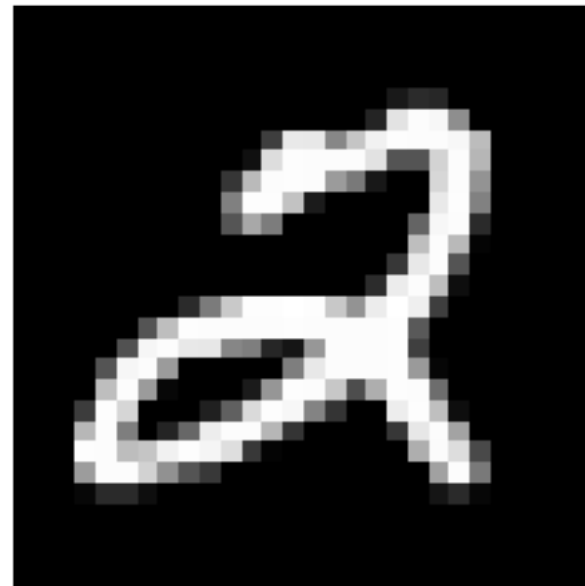
Sara Sabour, Nicholas Frosst, and Geoffrey E. Hinton. NIPS, 2017

You Shuheng  
05/18/2022

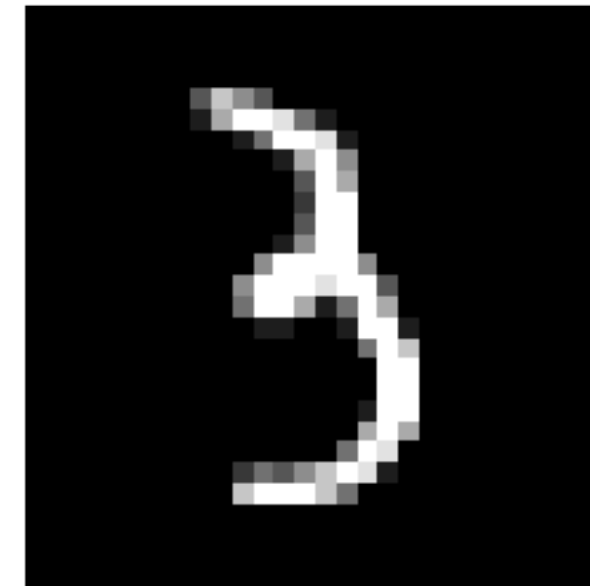
# Background

## Image Classification

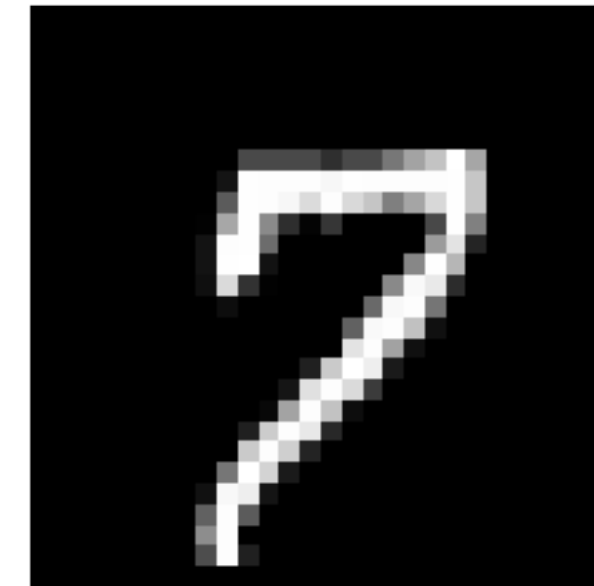
- MNIST: dataset of handwritten digits



2



3

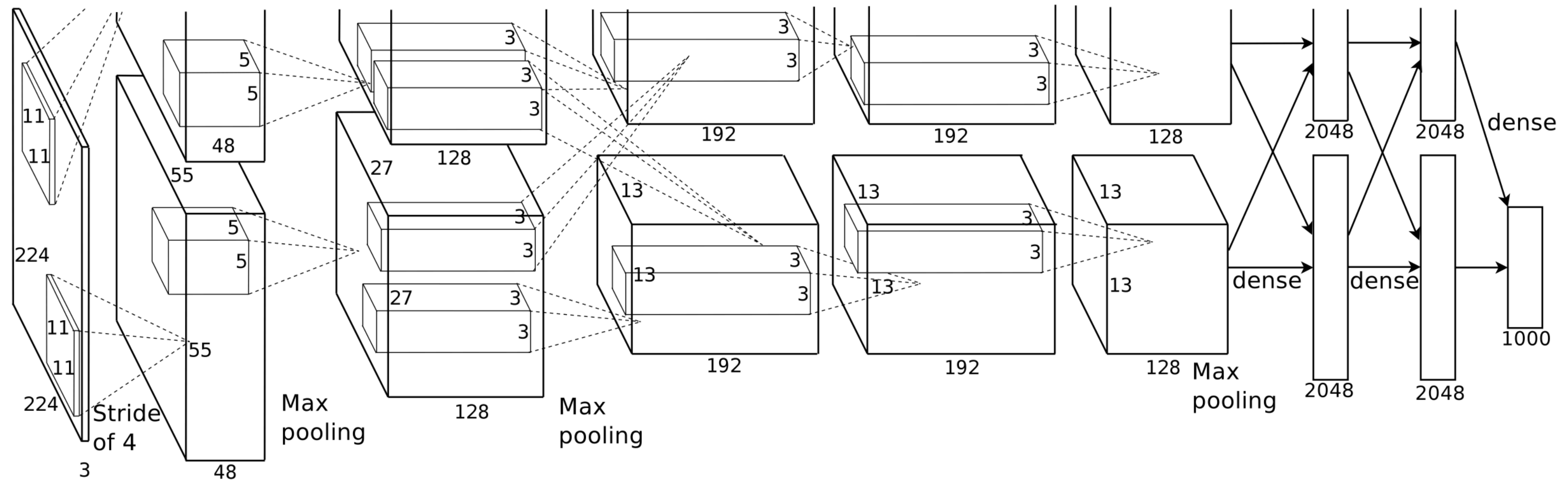


7

# Background

## Convolutional Neural Networks for Image Classification

- AlexNet



Alex Krizhevsky, Ilya Sutskever, and Geoffrey E. Hinton. ImageNet Classification with Deep Convolutional Neural Networks. NIPS, 2012

# Background

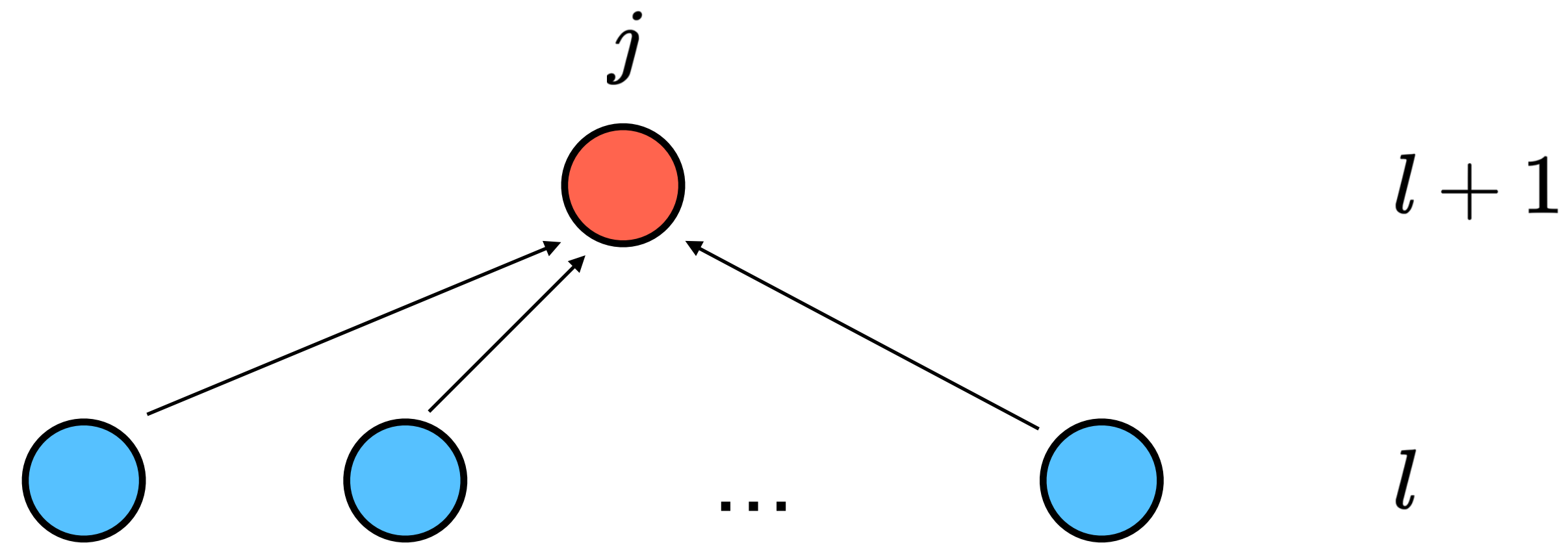
## What about Capsule Network?

- Explainability: capsules are designed to be interpretable
- Great at representing hierarchic architectures
- Great at multi-label classification
- Not so deep and abundant in parameters

# Background

## One More Thing

- Attention in Transformers: distributing attention from deeper neurons to earlier

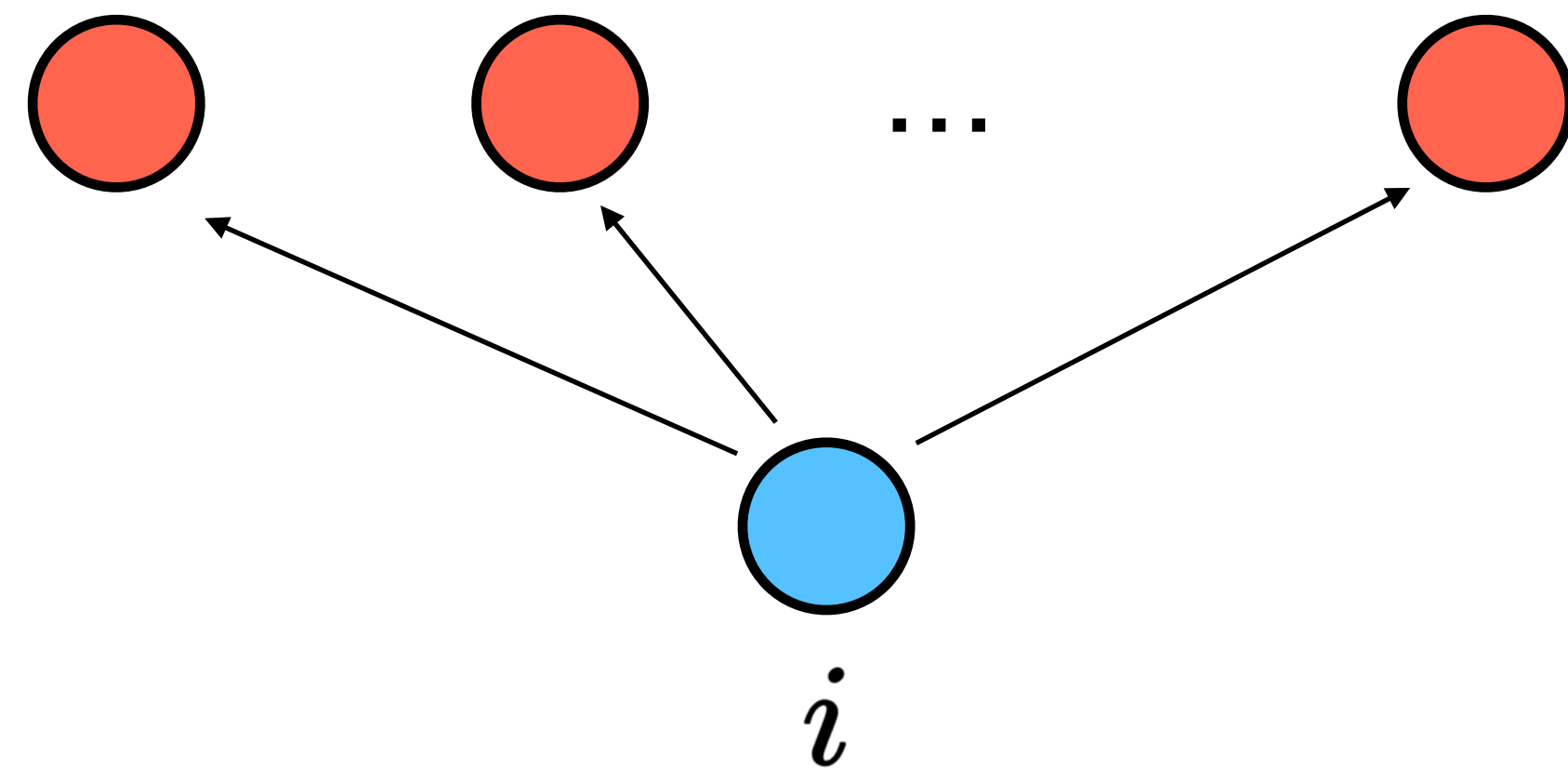


$$\text{output}_j = \sum_i c_{ij} a_i, \quad \sum_i c_{ij} = 1$$

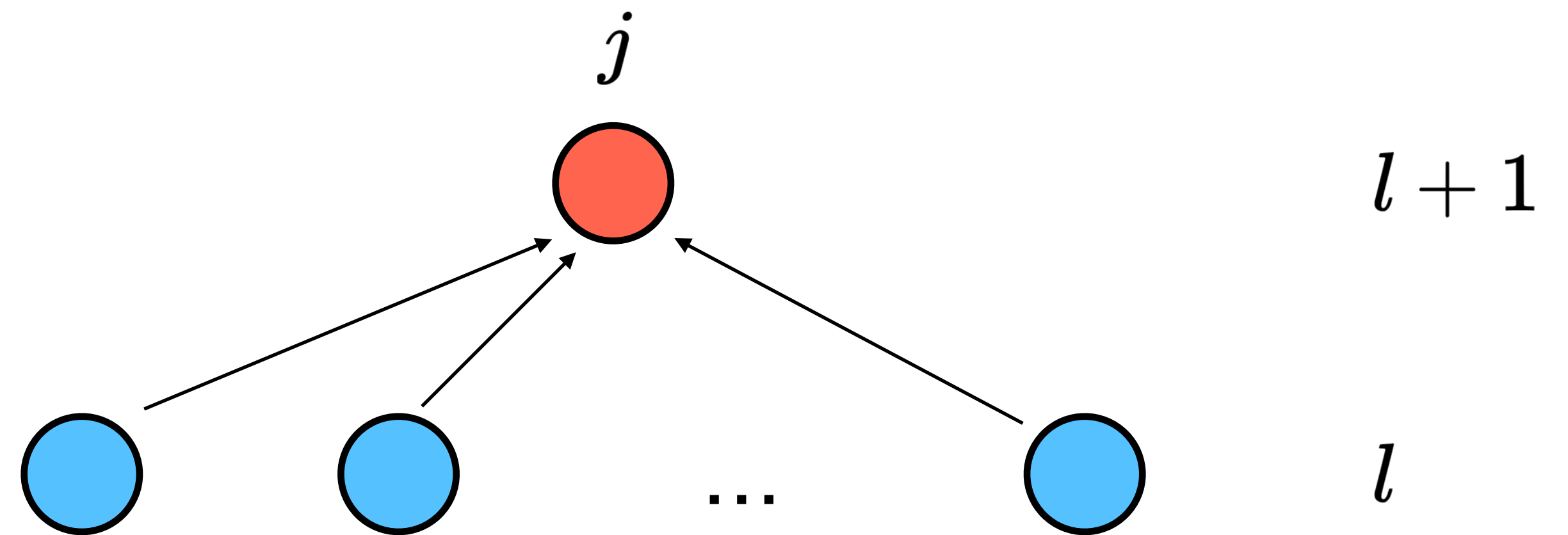
# Background

## One More Thing

- Routing algorithm: distributing influence from earlier neurons into deeper



$$\sum_j c_{ij} = 1$$



$$\text{output}_j = \sum_i c_{ij} a_i$$

# Method

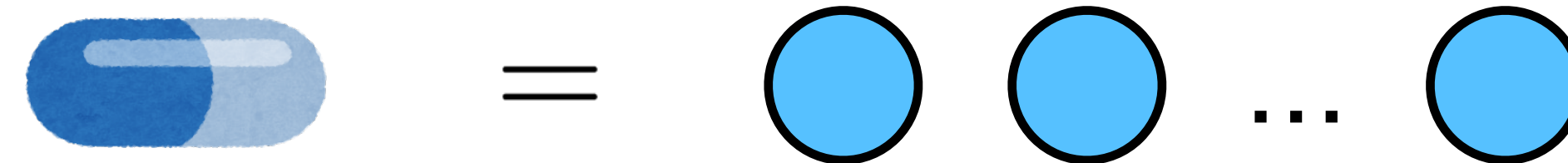
## Capsule and Routing Algorithm

- Capsule vs. neurons
- Routing algorithm vs. traditional forward propagation
- Forward propagation between layers of neurons  $y = f(Wx + b)$
- Routing between layers of capsules

# Method

## Capsule

- Neuron: outputs a number
- Capsule: outputs a vector



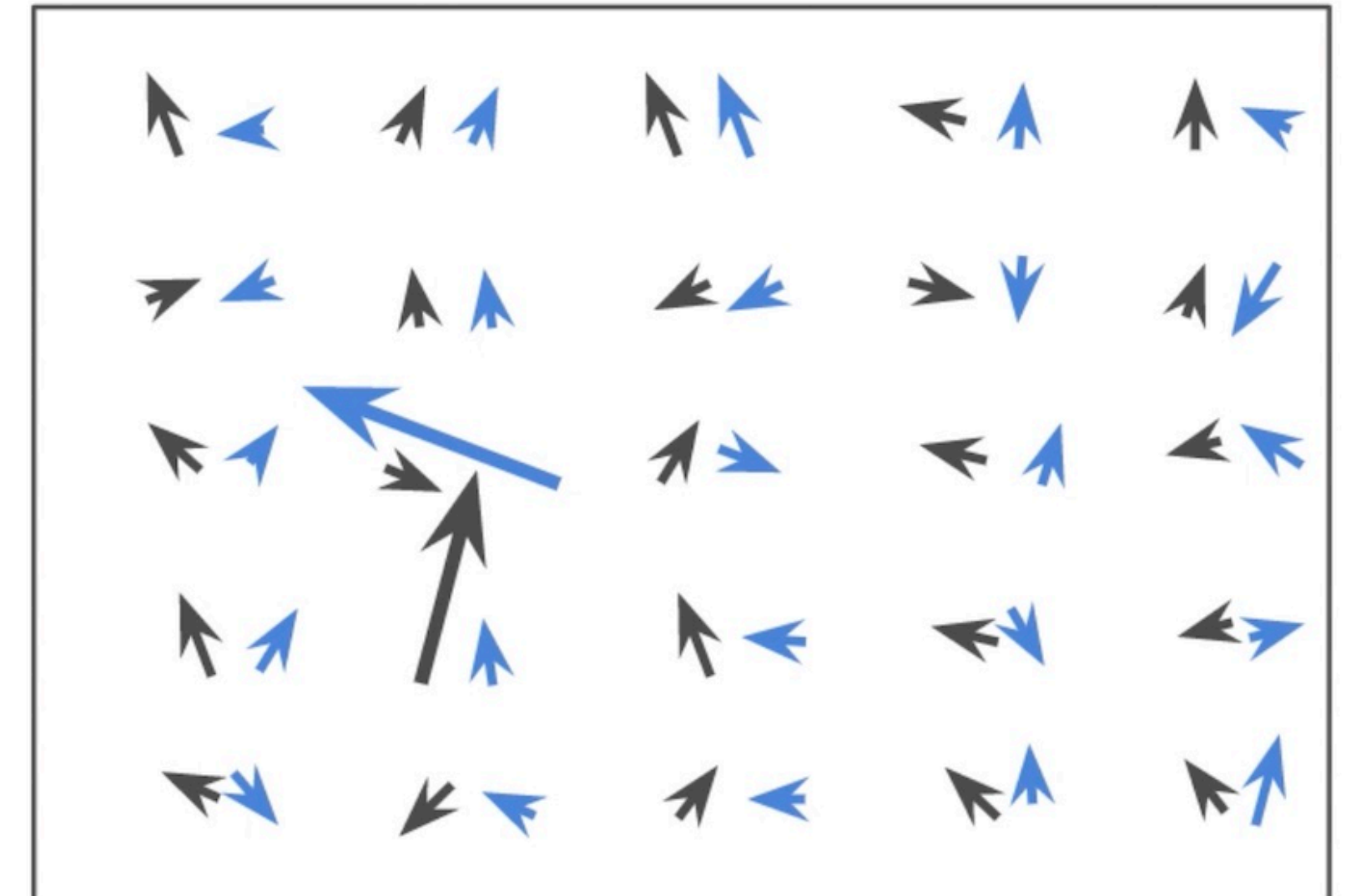
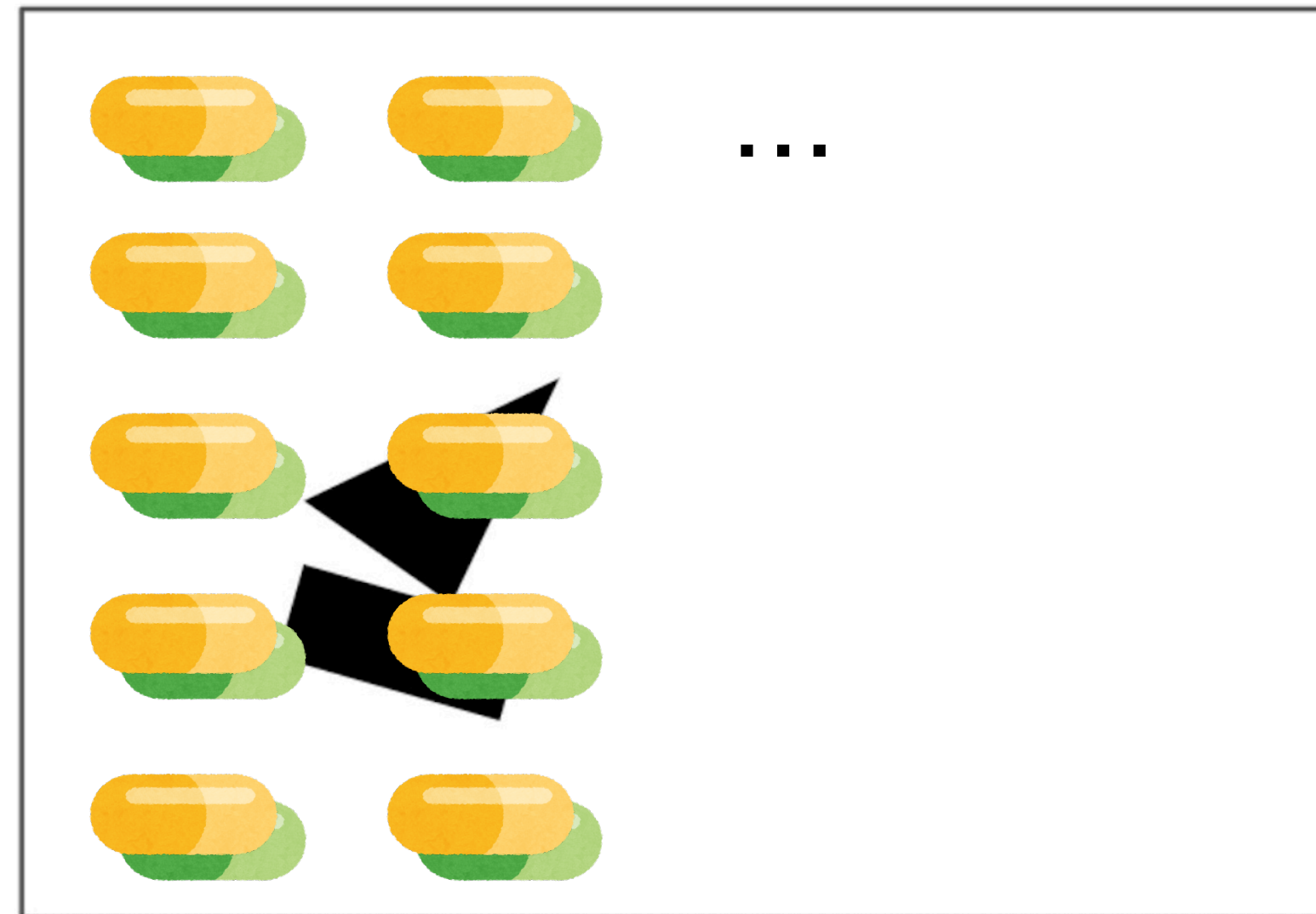
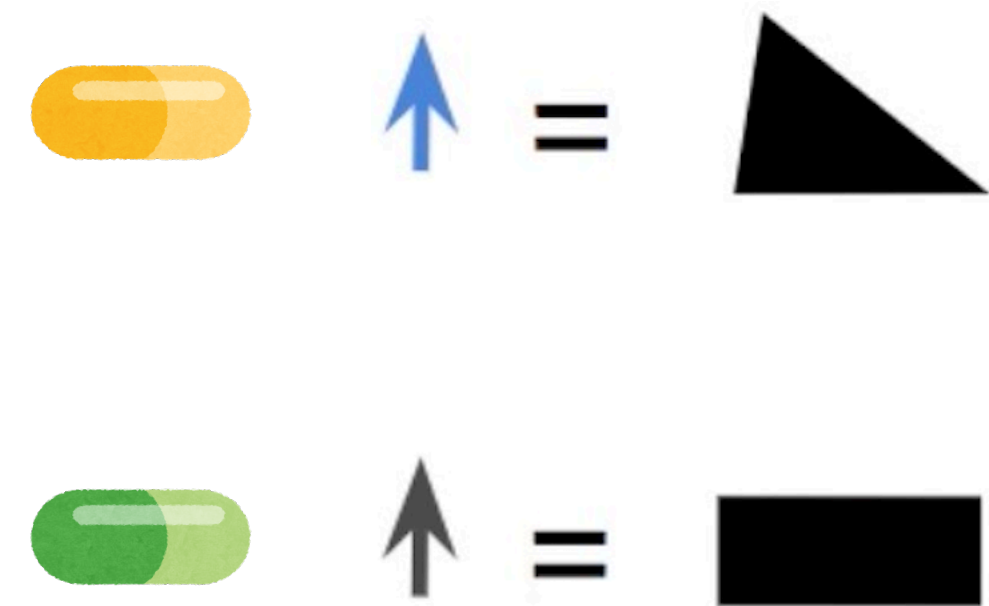
- Neurons activate separately, while capsules activate as a whole



# Method

## Capsule

- Capsule activation vector:  
length/norm represents the probability  
orientation represents the instantiation parameters



# Method

## Capsule

- Capsule activation function: squash

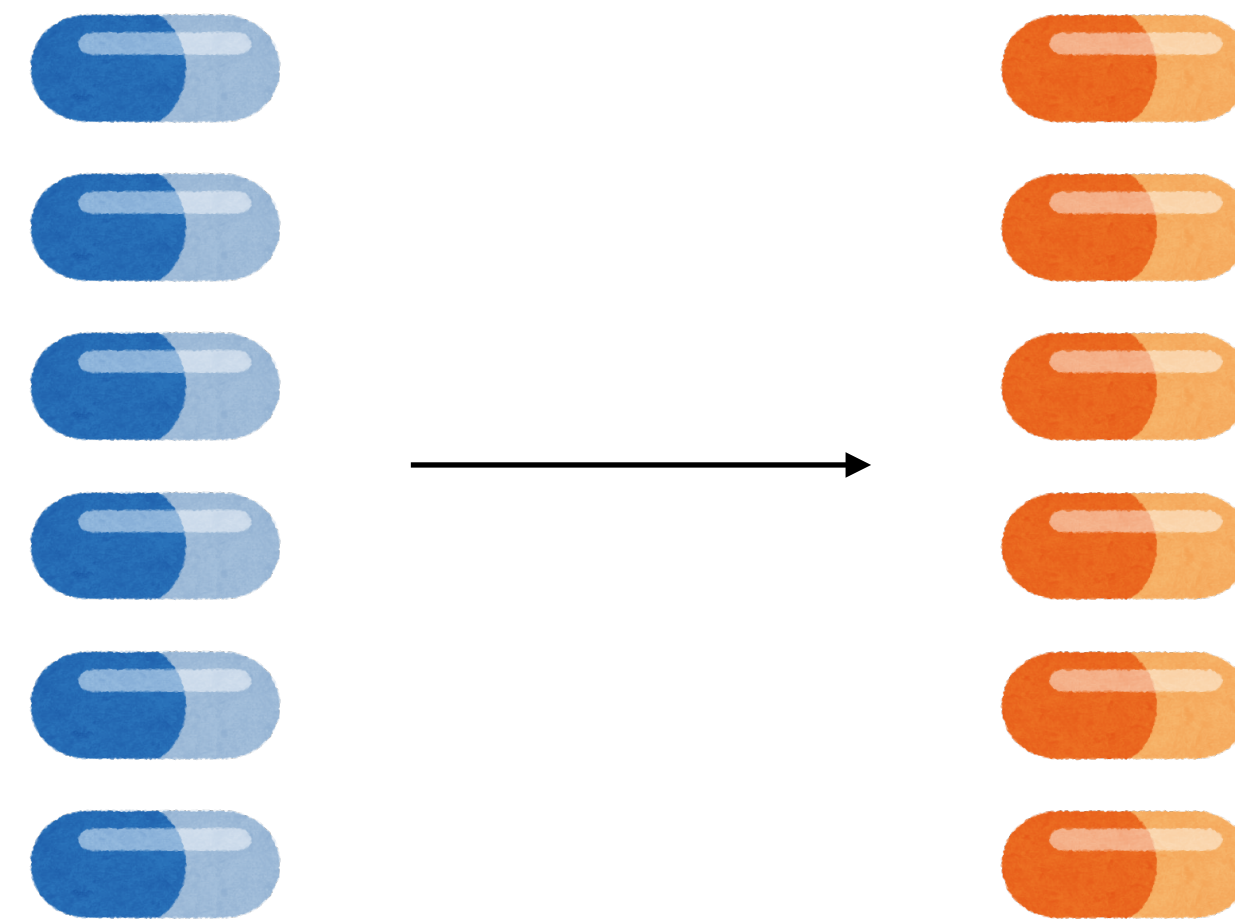
$$\mathbf{v}_j = \frac{\|\mathbf{s}_j\|^2}{1 + \|\mathbf{s}_j\|^2} \frac{\mathbf{s}_j}{\|\mathbf{s}_j\|}$$

- Long vectors  $\rightarrow 1$   
Short vectors  $\rightarrow 0$

# Method

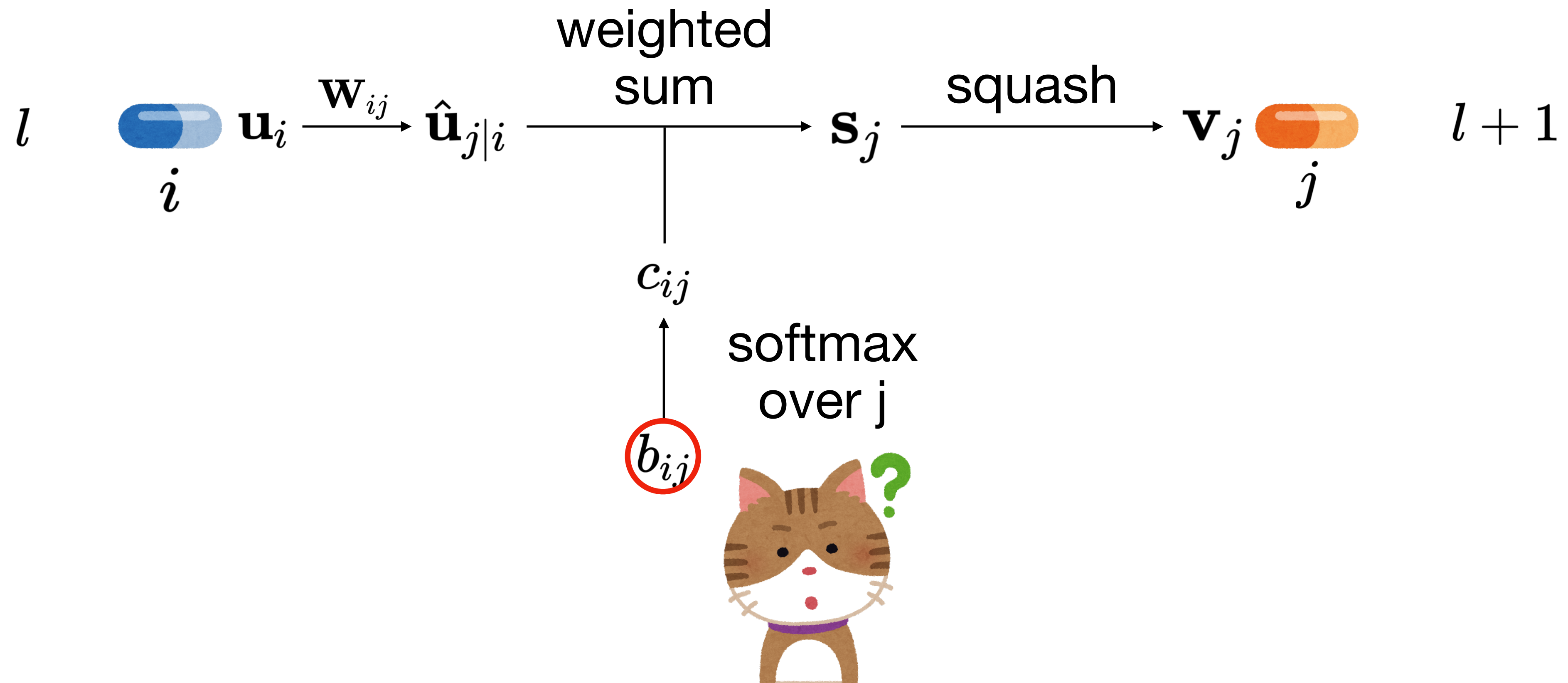
## Routing

- Forward propagation between layers of capsules



# Method

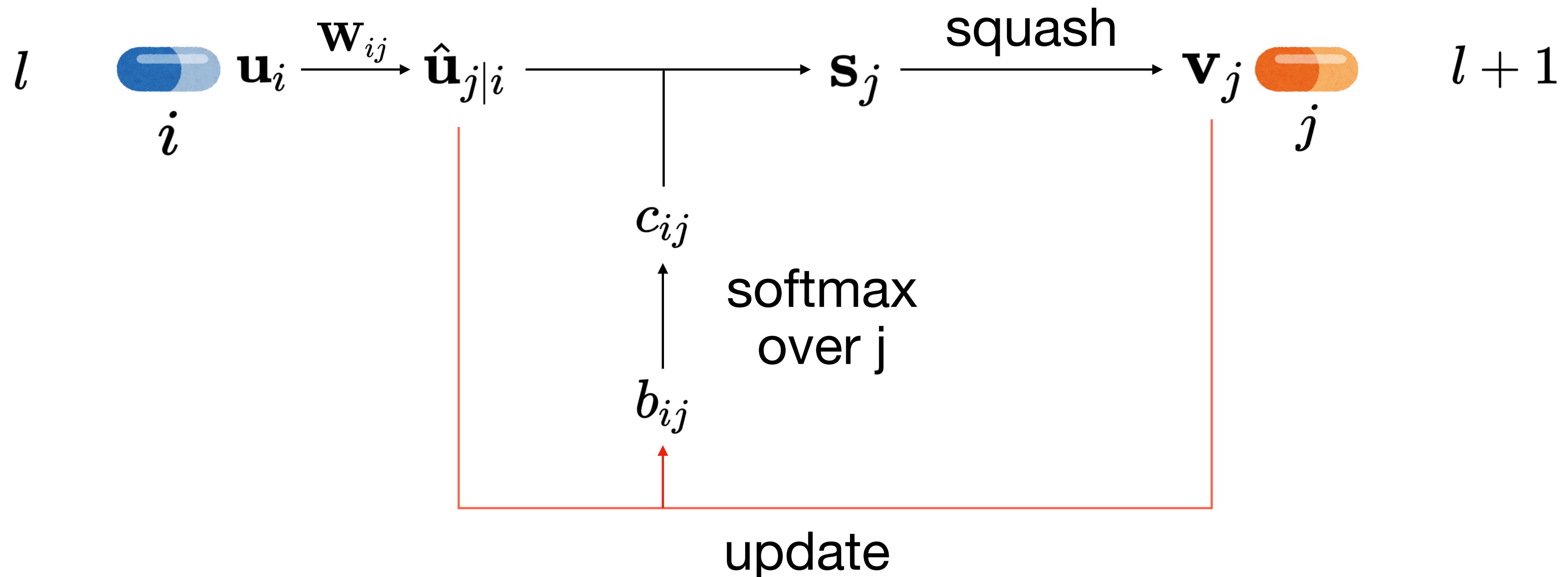
## Routing



# Method

## Routing

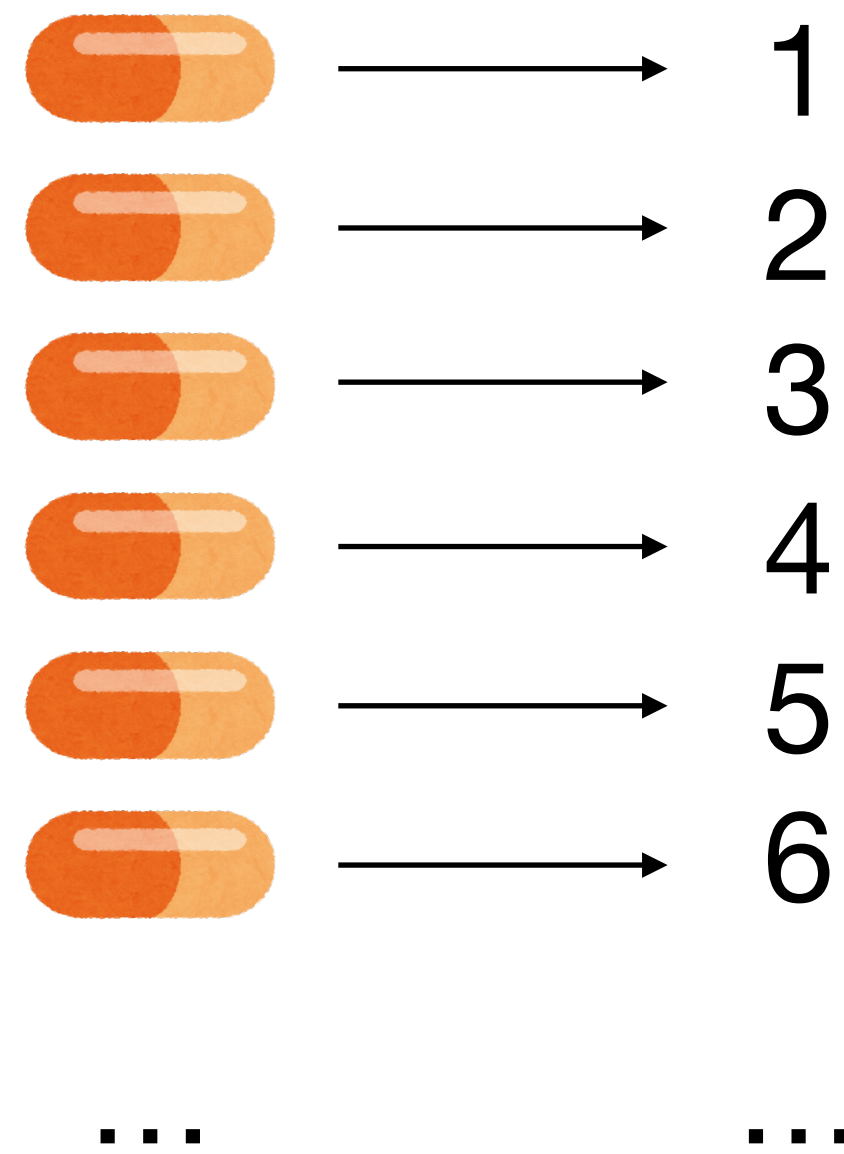
- $b_{ij}$  initialized to 0, update by  $b_{ij} \leftarrow b_{ij} + \hat{\mathbf{u}}_{j|i} \cdot \mathbf{v}_j$
- Each capsule is **routed** to its most similar one in the next layer



# Method

## Prediction

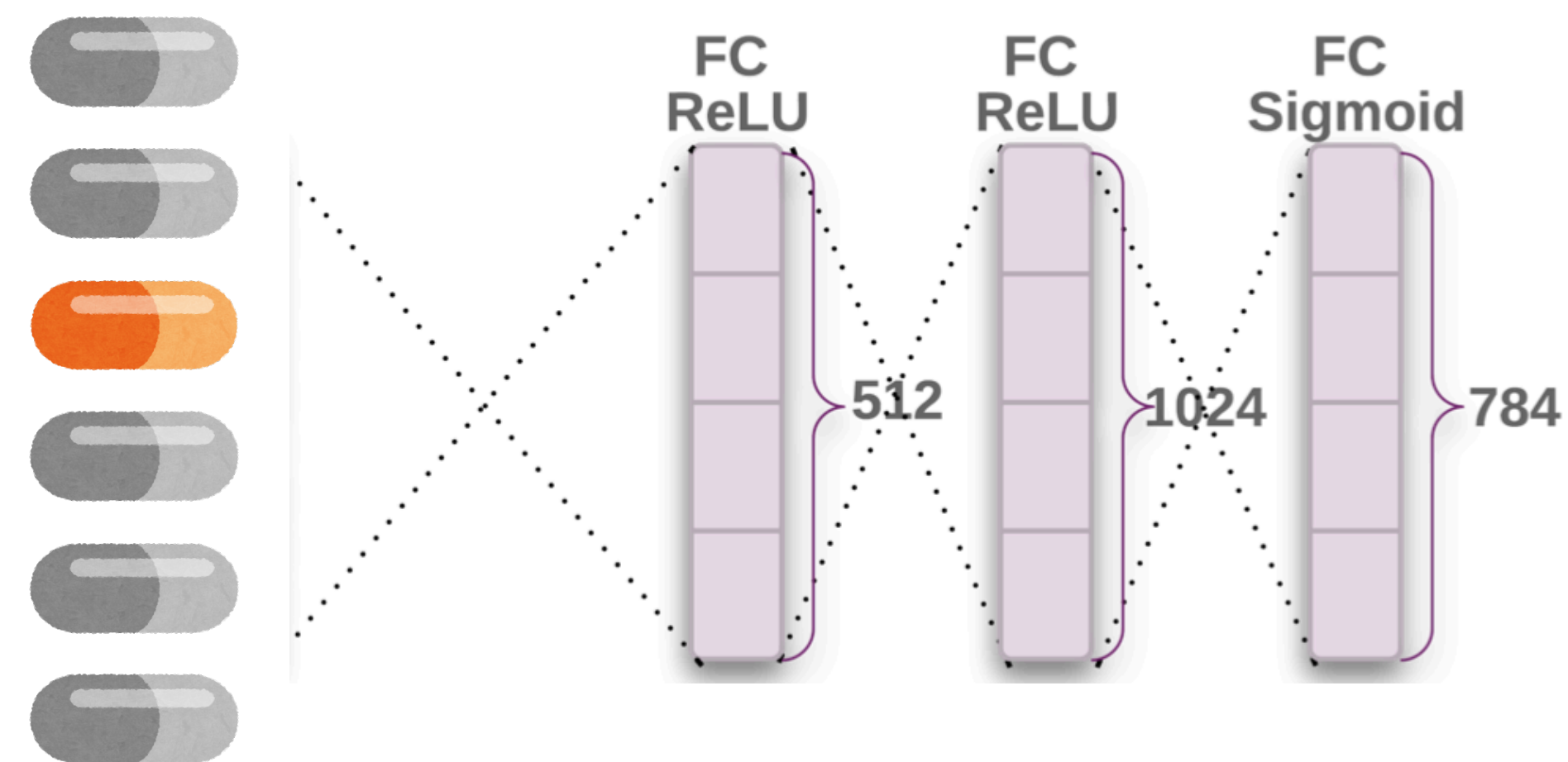
- The norm of vectors
- Classification loss  $L_+ = \max(0, 0.9 - \|\mathbf{v}_k\|)^2, L_- = \max(0, \|\mathbf{v}_k\| - 0.1)^2$



# Method

## Reconstruction

- Reconstruction loss: the sum of squared differences between reconstructed images and the original images



# Result

## Test Set Performance

My Accuracy	Reported Accuracy
99.22%	99.75%

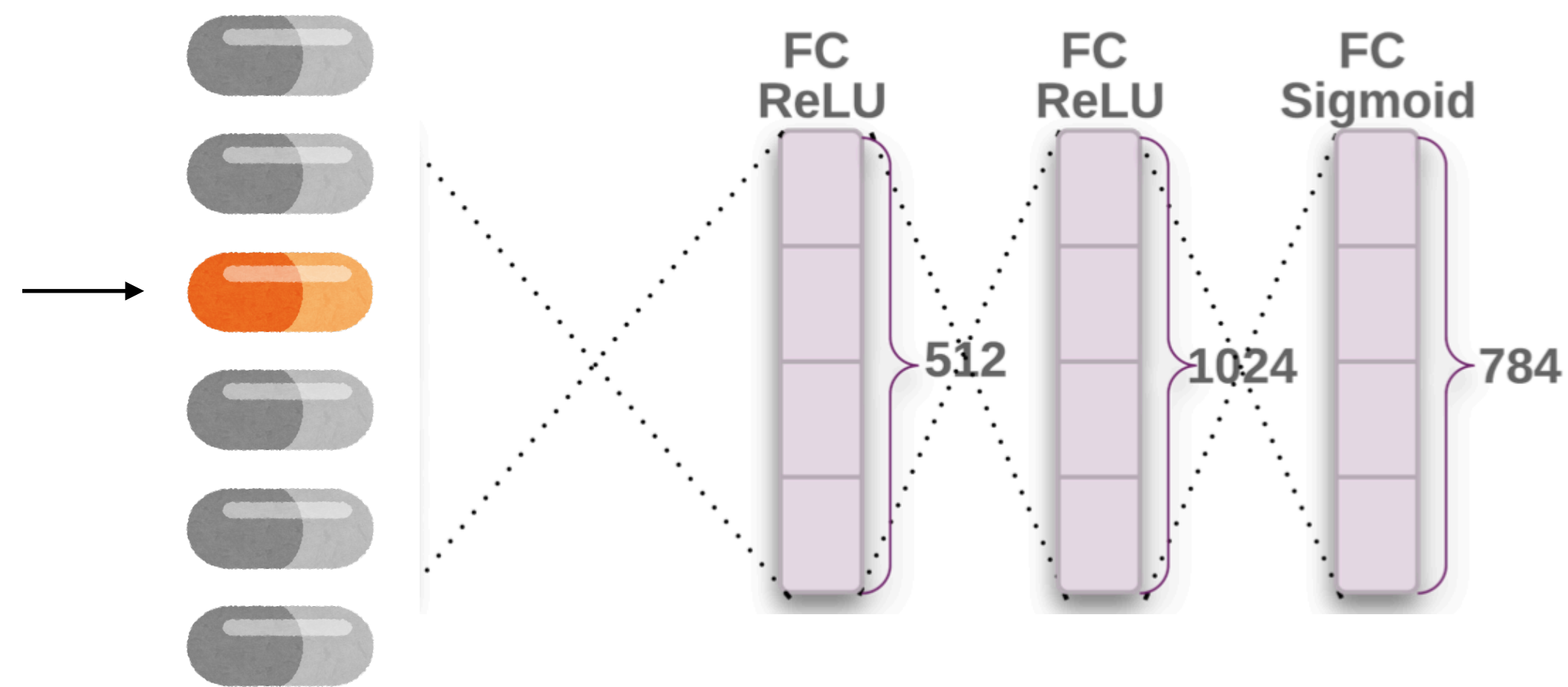
- Not enough training
- Choices of hyper-parameters



# Result

## Tweaking One Value in the Classification Capsules

- Change a value in the capsule, and then reconstruct





# Result

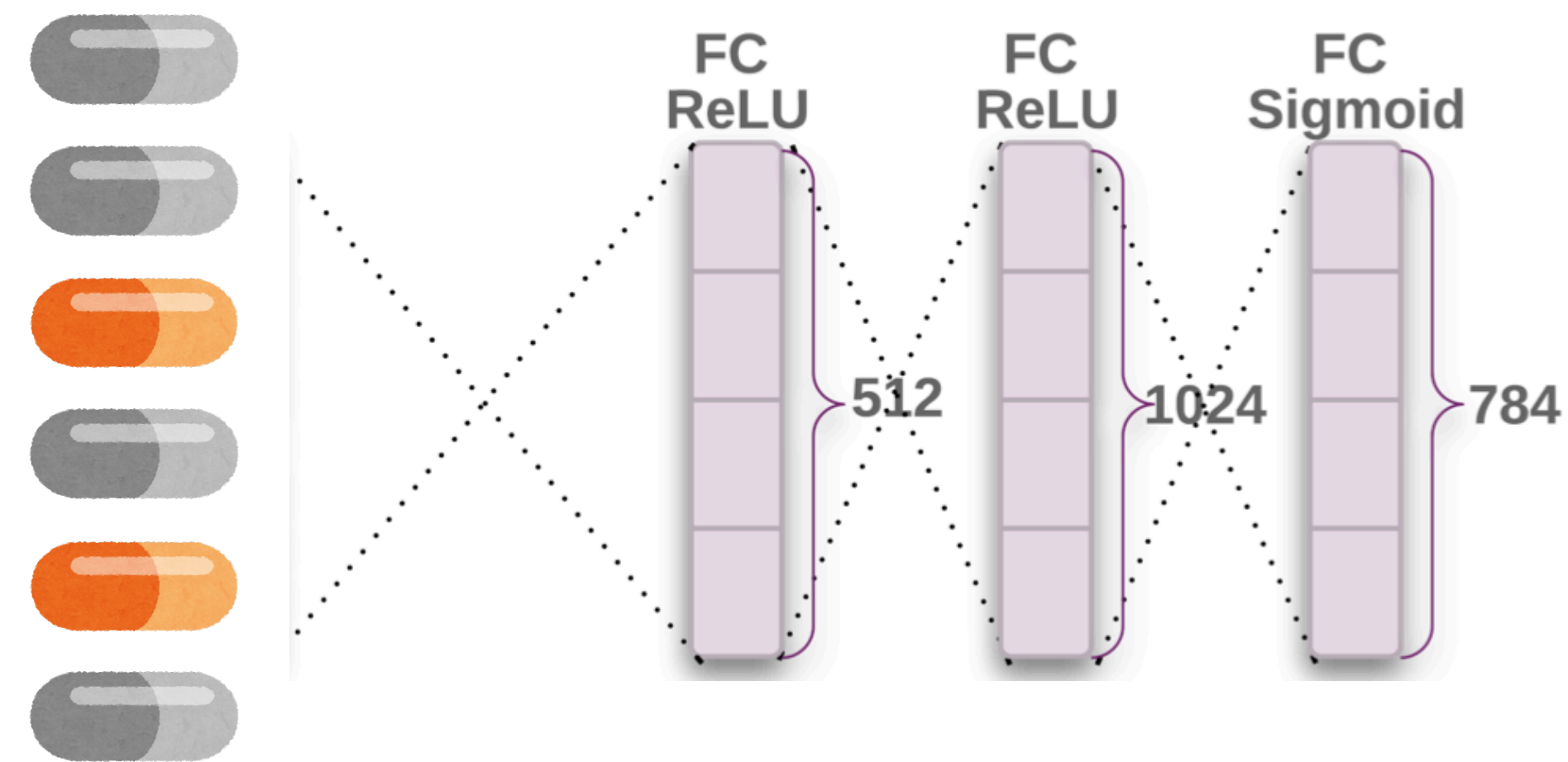
## Tweaking One Value in the Classification Capsules



# Result

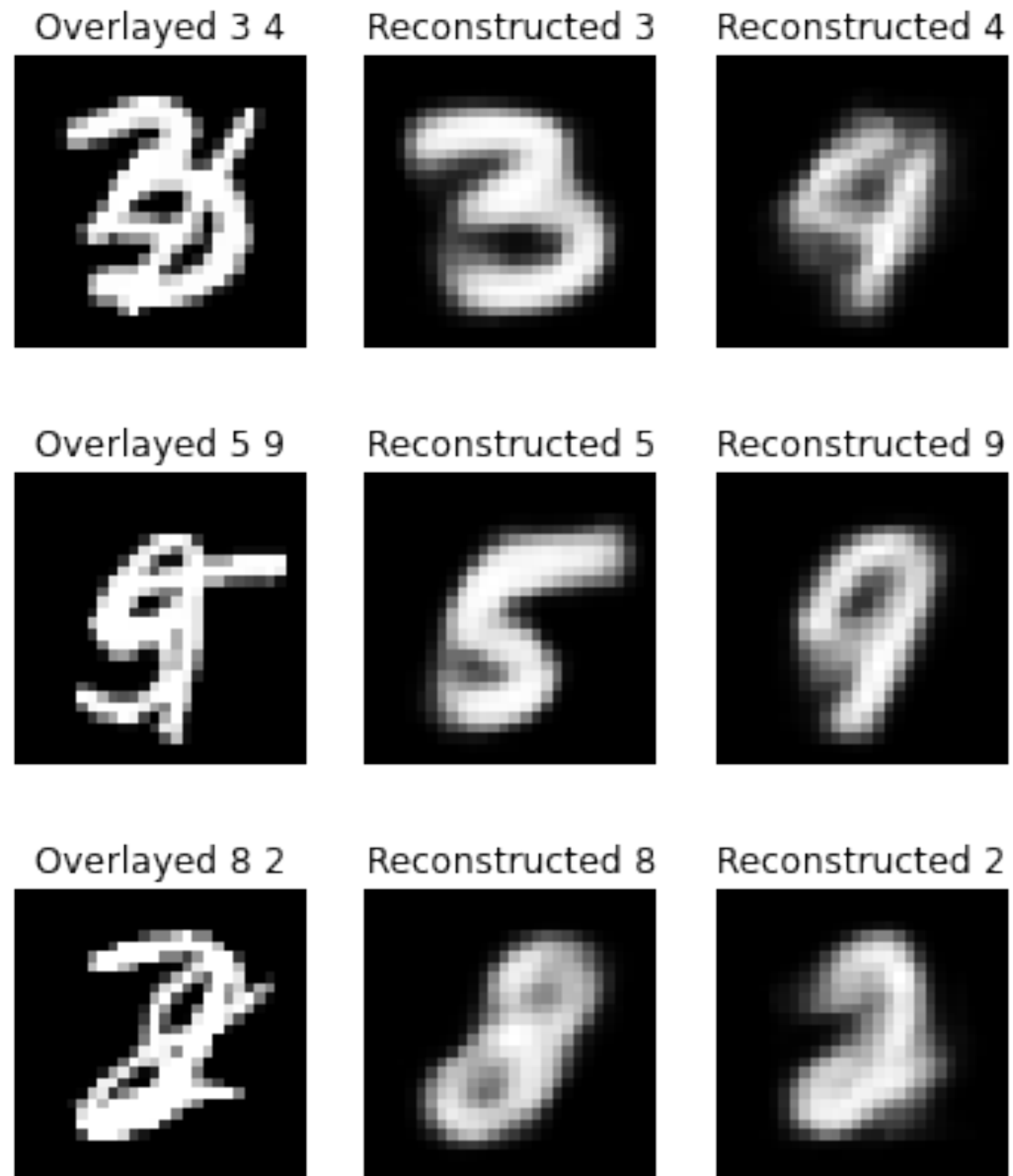
## Multi-MNIST: Overlay of Two Images of Different Digits

- Classify the image with two digits, and reconstruct them separately



# Result

## Multi-MNIST: Overlay of Two Images of Different Digits



# Pull Request

## That I Wish for

- An interactive interface for vector tweaking



# Pull Request

## That I Wish for

- An interactive interface for vector tweaking
- Any choices of hyper-parameters that can improve the performance
- A pipeline for multi-MNIST dataset training and testing

**Thank you!**